



UNITED STATES PATENT AND TRADEMARK OFFICE



COPY OF PAPERS
ORIGINALLY FILED

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,954	10/31/2001	Yoshiyuki Kaniwa	JP920000348US1

CONFIRMATION NO. 4764

23550
HOFFMAN WARNICK & D'ALESSANDRO, LLC
3 E-COMM SQUARE
ALBANY, NY 12207

FORMALITIES LETTER



OC000000007226236

Date Mailed: 12/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

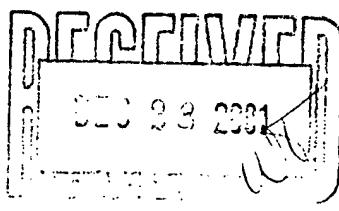
*A copy of this notice **MUST** be returned with the reply.*

Yoshiyuki Kaniwa
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 MBERHE 00000049 090469 10003954

01 FC:105 130.00 CH



TRANSMITTAL LETTER
(General - Patent Pending)

COPY OF PAPERS
ORIGINALLY FILED

Docket No.
JP920000348US1

In Re Application Of: Kaniwa et al.

MAR 01 2002

Serial No.
10/003,954

Filing Date
10/31/2001

Examiner
Unknown

Group Art Unit
2161

Title: FINANCIAL PROCESSING SYSTEMS AND METHODS

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Notice to File Missing Parts of Nonprovisional Application

Declaration and Power of Attorney in 2 pgs.

Recordation Form Cover Sheet

Assignment in 2 pgs.

in the above identified application.

No additional fee is required.

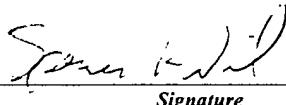
A check in the amount of _____ is attached.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \$130.00

Credit any overpayment.

Charge any additional fee required.


Signature

Dated: February 19, 2002

Spencer K. Warnick - Reg. No. 40,398
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
(518) 449-0044 Telephone
(518) 449-0047 Fax

I certify that this document and fee is being deposited on 2/19/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Wendy E. Thompson

Typed or Printed Name of Person Mailing Correspondence

CC: